

EXECUTION ONLY PLATFORMS (EOPs) EMPANELMENT FORMAMFI Registration No.: PAN No. of EOP: EOP Name: Category: ☐Name of Contact Person 1: Name of Contact Person 2: Address (as per AMFI records): City: Pincode: Telephone: Fax: Mobile: Email ID (as per AMFI records): **Bank Details**Account No.: Account Type: ☐ Savings ☐ Current ☐ Others Branch Address: Bank Name: City: State: Pincode: IFSC Code*: MICR Code*:

*Mandatory to make payments through RTGS/NEFT (Please provide cancelled cheque with name, signature & account number pre-printed or latest Bank statement)

WEBSITE OF EOP: WWW Payment Aggregator: ☐ Yes ☐ No Others

Please specify: (Name of Payment Aggregator):

DECLARATIONS

We undertake to abide by the guidelines, code of conduct, best practices, circulars etc., issued by SEBI and/or AMFI as may be applicable to us. We further confirm that we (including our directors/employees/officers) are not the employee(s) of any Asset Management Company [“AMC”] or related to any Director/Employee of any AMC/Sponsor or any of its associates [hereinafter referred as “Related Person”].

We also undertake to keep you informed in writing about any changes/modification to the above information in future. We agree to keep the information received as part of this service confidential and will not disclose the same to public or any third parties. We confirm that we will abide by the code of conduct prescribed by AMFI and amended from time to time.

We have gone through the terms and conditions contained in enclosed Agreement being part of this Empanelment Form and will strictly abide by the same.

We hereby declare that currently there is no subsisting order/ruling/judgment etc., passed by of any court, tribunal, statutory authority or regulator, including SEBI prohibiting or restraining us from dealing in securities.

We acknowledge and confirm that the information provided above is true and correct to the best of our knowledge and belief. In case the above information or part thereof is found to be false or misleading, you will be entitled to take appropriate action and we will be liable to the consequences thereof.

Date: _____ / _____ / _____

Place: _____

(Signature)

Check List:

EOP should submit the EOP empanelment form duly filled and signed along with the following supporting documents.

1. Self-attested AMFI registration certificate.
2. Self-attested PAN.
3. Copy of cancelled cheque.
4. Self-attested copy of Constitution Document (such as Memorandum and Articles of Association);
5. Self-certified Authorised Signatory List along with Board Resolution.
6. Copy of Incorporation/Registration Certificate.
7. Online Execution Only Platform (EOP) Agreement duly signed by EOP
8. The address and other contact details should be as per AMFI records.
9. The agreement should be signed by both parties.